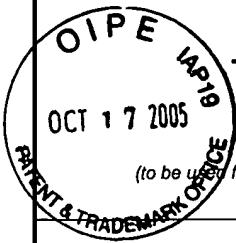


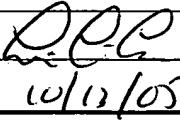
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 <p><b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)</p>		Application Number	10/087,027
		Filing Date	2/28/2002
		First Named Inventor	Adam W. Smith
		Group Art Unit	2126
		Examiner Name	CHARLES E ANYA
		Total Number of Pages in This Submission	MS1.0861USC1

**ENCLOSURES (check all that apply)**

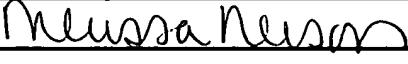
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form 1449, 3 References, Return Postcard
<div style="border: 1px solid black; padding: 2px;">Remarks</div>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	Lewis C. Lee/Reg. No. 34656		
Signature			
Date	10/13/05		

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Melissa Nelson		
Signature		Date	10/13/05

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL  
For FY 2005** Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$0)**Complete if Known**

Application Number	10/087,027
Filing Date	2/28/2002
First Named Inventor	Adam W. Smith
Examiner Name	CHARLES E ANYA
Art Unit	2126
Attorney Docket No.	MS1 0861USC1

**METHOD OF PAYMENT** (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 12-0769 Deposit Account Name: Lee & Hayes, PLLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent

50 25

Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent

200 100

Multiple dependent claims

360 180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims		Small Entity Fee (\$)	Fee (\$)
				Fee (\$)	Fee Paid (\$)		
- 20 or HP =		x 50	=				
HP = highest number of total claims paid for, if greater than 20							

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Fee (\$)	Fee Paid (\$)
- 3 or HP =		x 200	=		

HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)

- 100 = / 50 = (round up to a whole number) x =

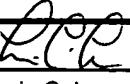
**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: \_\_\_\_\_

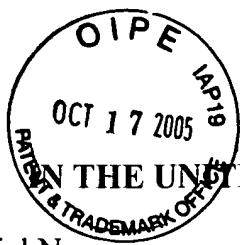
Fees Paid (\$)

**SUBMITTED BY**

Signature		Registration No. 34656 (Attorney/Agent)	Telephone (509) 324-9256
Name (Print/Type)	Lewis C. Lee		Date 10/13/05

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Serial No. .... 10/087,027  
3 Filing Date ..... 2/28/2002  
4 Confirmation No. .... 6939  
5 Inventorship ..... Adam W. Smith  
6 Applicant ..... Microsoft Corporation  
Group Art Unit ..... 2126  
Examiner ..... CHARLES E ANYA  
Attorney's Docket No. .... MS1-0861USC1  
Title: Application Program Interface for Network Software Platform

## **INFORMATION DISCLOSURE STATEMENT**

*References* -- See Attached Form PTO-1449

## REMARKS

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

By: Lewis C. Lee  
Lewis C. Lee  
Reg. No. 34656

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APR 16INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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Application Number	10/087,027
Filing Date	2/28/2002
First Named Inventor	Adam W. Smith
Art Unit	2126
Examiner Name	CHARLES E ANYA

Sheet 1 of 2

Attorney Docket Number MS1 0861USC1

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 20020073236	6/13/2002	Helgeson, Christophe	
		US- 20030028685	2/6/2003	Smith et al.	
		US- 20030120665	6/26/2003	Fox, Joshua; et al.	
		US- 20030167277	9/4/2003	Hejlsberg et al.	
		US- 20030167355	9/11/2003	Smith et al.	
		US- 20030167356	9/4/2003	Smith, Adam W.; et	
		US- 20030172196	9/11/2003	Hejlsberg et a.	
		US- 20030177282	9/18/2003	Hejlsbfg et al.	
		US- 20040117759	6/17/2004	Rippert, Donald J Jr	
		US- 6,360,230	3/19/2002	Chan, et al.	
		US- 6,430,593	8/6/2002	Lindsley	
		US- 6,473,794	10/29/2002	Guheen, et al.	
		US- 6,519,571	2/11/2003	Guheen, et al.	
		US- 6,536,037	3/18/2003	Guheen, et al.	
		US- 6,615,166	9/2/2003	Guheen, et al.	
		US- 6,643,652	11/4/2003	Helgeson, et al.	
		US- 6,721,713	4/13/2004	Guheen, et al.	
		US-			
		US-			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2

of 2

## Complete if Known

Application Number	10/087,027
Filing Date	2/28/2002
First Named Inventor	Adam W. Smith
Art Unit	2126
Examiner Name	CHARLES E ANYA

Attorney Docket Number MS1 0861USC1

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Hanson, "Differences between J2SE and J2EE", 9/8/03, SearchTechTarget.com.	
		Arulanthu, et al, "The Design and Performance of A Scalable ORB Architecture for CORBA Asynchronous Messaging." Middleware 2000, Springer-Verlag, 2000, pp. 208-228.	
		Deitel & Deitel "Java(TM) How to Program Book" Prentice Hall Third Edition 1999 (pp 7-29, 214-226, 698-699, 714-717, 817-838, 876-878, 936-977, 980-998, 1002-1046).	
		US Patent Application Serial No 09/901555 filed 7-10-01 entitled "Application Program Interface for Network Software Platform."	

Examiner Signature	Date Considered
--------------------	-----------------

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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